

**NOTICE OF HEARING BY THE CHARTERED INSTITUTE OF STOCKBROKERS' DISCIPLINARY TRIBUNAL**

IN THE MATTER OF THE CHARTERED INSTITUTE OF STOCKBROKERS ACT 105 OF 1992, SECOND SCHEDULE: 2(2) (a)

AND

IN THE MATTER OF A CHARGE OF INFAMOUS CONDUCT AGAINST

**Chief Ebi Odiegah, ACS  
GMT Securities and Asset Management Limited  
14<sup>th</sup> Floor, Bookshop House  
50/52 Broad Street  
Lagos.**

Dear Sir,

**TAKE NOTICE** that the Chartered Institute of Stockbrokers' Disciplinary Tribunal will be sitting at the **COUNCIL CHAMBERS, CHARTERED INSTITUTE OF STOCKBROKERS, 71 RAYMOND NJOKU STREET, OFF AWOLowo ROAD, S/W- IKOYI, LAGOS** on **WEDNESDAY 16<sup>TH</sup> OF JUNE, 2021 at 10:00 am prompt** to commence its hearing of charges to be preferred against **you Mr. Ebi Odiegha, ACS**, aforesaid of infamous conduct as a **Stockbroker**, and that the Tribunal shall thereafter present its findings and direction(s).

This Notice is given to you as the **RESPONDENT**

The Charge sheet and Report of the Investigating Panel are hereby attached for consideration and you are entitled to Legal representation of your choice and you are entitled to Legal representation of your choice.

**TAKE FURTHER NOTICE** that the Disciplinary Tribunal would not be disposed to granting any frivolous/unnecessary adjournment in this case.

**TAKE FURTHER NOTICE** that if the Respondent fails to attend the hearing, the Disciplinary Tribunal may:

- a) Proceed with the hearing as set out in the Notice of Hearing, without further notice to the Respondent;
- b) Accept as proven the facts and contraventions allegedly by the Investigating Panel in its reports on this case, to the Disciplinary Tribunal and;
- c) Order penalties and fines against the Respondent.

**TAKE FURTHER NOTICE** that the Tribunal intends to determine this case on settled pleadings of the parties (that is, relevant documents already filed with the Institute by the parties).

**TAKE FURTHER NOTICE** that the Tribunal shall ensure fairness and justice to parties without the legal technicalities while it shall act in good faith without the strict practice and procedure as a court of law.

**DATED at LAGOS this Wednesday 2nd day of June, 2021.**

**J. B. Odojin, Esq.**  
**Secretary, Chartered Institute of Stockbrokers**  
**Disciplinary Tribunal.**